

The particulars given in this Disclosure Document have been prepared in accordance with SEBI's (Investment Advisers) Regulations, 2013.

The purpose of the Document is to provide essential information about the Investment Advisory Services in a manner to assist and enable the prospective client in making an informed decision for engaging with Fintrek Research Advisors Private Limited (hereinafter referred to as IA NAME) to seek advice for their portfolio.

About IA NAME:

IA Name is registered with SEBI as an Investment Advisor with Registration No. INA000019284 as detailed below:

Name – Fintrek Research Advisors Private Limited
Registration Type – Non-Individual Registration

Number - INA000019284

Date - Perpetual

Disclosures

1. IA Name provides non-binding investment advisory services to the Client, including but not limited to advice relating to investing in, purchasing, selling or otherwise dealing in securities or investment products, and advice on investment portfolio containing securities or investment products, whether written, oral or through any other means of communication for the benefit of the client
2. IA Name aligns its interests with those of the client and seeks to provide the best-suited investment advice based on a detailed client risk profile & risk profiling process. IA Name attempts to understand the client's return expectations, risk-taking ability & goals, and uses that input to arrive at a risk/asset allocation suitable for the client.
3. The applicable terms and conditions related to investment advisory services are stated in the IA agreement executed between the IA client and IA Name. Clients are requested to read and understand them and seek clarifications, as required.
4. The IA client shall not be under any obligation to avail the execution services referred, if any, by IA Name
5. IA Name shall not receive any consideration by way of remuneration or compensation or in any other form, whatsoever, from any person other than the IA client being advised.
6. Any fees and charges, payable by the IA client for the execution/distribution services availed, must be paid directly to the respective service provider and not through IA Name
7. IA Name shall draw IA client's attention to the warnings, disclaimers in documents, advertising materials relating to investment products which are recommended for consideration by the IA client.
8. Before acting on IA Name advice, IA clients shall read and understand all the relevant information available in the offer documents including key features, performance track record, underlying collateral, lock-in, risk factors about the products provided to the IA client and seek requisite clarification about the same.
9. IA Name shall maintain complete confidentiality of all information provided by the IA client and shall not disclose any such information, without prior consent of the IA client except if such disclosure is required to be made in compliance with any applicable law or

regulatory direction.

10. IA Name provides only investment advisory services to its clients and is not actively engaged into any proprietary trading in any way. However, IA NAME, its partners or employees may have positions in various investment products for their own requirements.
11. IA Name provides investment advisory services to various clients, and the investment advice will differ on the basis of the vintage of the client, risk profile of the client and specific circumstances applicable to a client.

Disciplinary History:

No disciplinary action has been taken against IA Name by any regulator.

Standard Risk Factors

The risks described herein are not an exhaustive and Clients should read and understand them before investing in any securities and other financial products based on the investment advice given by IA Name.

Investment Risk

Derivatives

Market Risk

Liquidity

Counter Party

Pricing / Valuation Risk

Credit Risk

Interest Rate Risk

Political & Economic Policies

Regulatory Policies

Forex

There is No guarantee on Investment advice and there could be a chance to suffer losses or even to lose all of the sum invested.